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2021

2021 12 31

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§ 1

1.1

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2021 01 01 12 31

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<b>1. 2</b>	
<b>§ 1</b>	<b>2</b>
1. 1	2
1. 2	3
<b>§ 2</b>	<b>5</b>
2. 1	5
2. 2	5
2. 3	5
2. 4	6
2. 5	6
<b>§ 3</b>	<b>6</b>
3. 1	6
3. 2	7
3. 3	8
<b>§ 4</b>	<b>9</b>
4. 1	9
4. 2	11
4. 3	11
4. 4	12
4. 5	13
4. 6	13
4. 7	13
4. 8	14
4. 9	14
<b>§ 5</b>	<b>14</b>
5. 1	14
5. 2	14
5. 3	14
<b>§ 6</b>	<b>14</b>
6. 1	14
6. 2	15
<b>§ 7</b>	<b>16</b>
7. 1	16
7. 2	18
7. 3	19
7. 4	20
<b>§ 8</b>	<b>54</b>

8.1	.....	54
8.2	.....	54
8.3	.....	55
8.4	.....	59
8.5	.....	60
8.6	.....	60
8.7	.....	60
8.8	.....	60
8.9	.....	60
8.10	.....	60
8.11	.....	61
8.12	.....	61
<b>§ 9</b>	.....	<b>62</b>
9.1	.....	62
9.2	.....	62
9.3	.....	62
9.4	.....	62
	.....	62
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<b>§ 11</b>	.....	<b>63</b>
11.1	.....	63
11.2	.....	63
11.3	.....	63
11.4	.....	63
11.5	.....	63
11.6	.....	63
11.7	.....	63
11.8	.....	64
<b>§ 12</b>	.....	<b>68</b>
12.1	20% .....	68
12.2	.....	68
<b>§ 13</b>	.....	<b>68</b>
13.1	.....	68
13.2	.....	68
13.3	.....	68

## § 2

## 2.1

	009576
	2020 6 23
	6, 043, 873, 930. 28

## 2.2

	GDP CPI
	300 × 60%+ ×
	20%+ × 20%
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## 2.3

	021- 33315895	0574- 87050338
	servi ce@dfham.com	custody-audi t@nccb.cn
	4009200808	0574- 83895886
	021- 63326381	0574- 89103213
	-11 109 7	345
	7 -11 108	345

	200010	315100

## 2.4

	www.dfham.com	
		108 7

## 2.5

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## § 3

## 3.1

3.1.1	2021	2020 6 23 - 2020 12 31
	411, 378, 795. 12	109, 679, 720. 57
	- 496, 483, 452. 06	1, 275, 676, 464. 31
	- 0. 0865	0. 4675
	- 6. 05%	39. 57%
	- 4. 96%	41. 26%
3.1.2	2021	2020
	702, 174, 626. 92	204, 734, 950. 34
	0. 1162	0. 0431
	8, 114, 405, 475. 32	6, 710, 313, 576. 99
	1. 3426	1. 4126
3.1.3	2021	2020
	34. 26%	41. 26%

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### 3.2

#### 3.2.1

	- 2. 21%	0. 90%	- 0. 37%	0. 61%	- 1. 84 %	0. 29%
	- 14. 49%	1. 30%	- 7. 37%	0. 81%	- 7. 12 %	0. 49%
	- 4. 96%	1. 44%	- 6. 11%	0. 87%	1. 15%	0. 57%
	34. 26%	1. 34%	9. 23%	0. 90%	25. 03 %	0. 44%

$\times 20\%_t$ 
 $\times 20\%$ 
 $\times 60\%_t$

t

benchmarkt

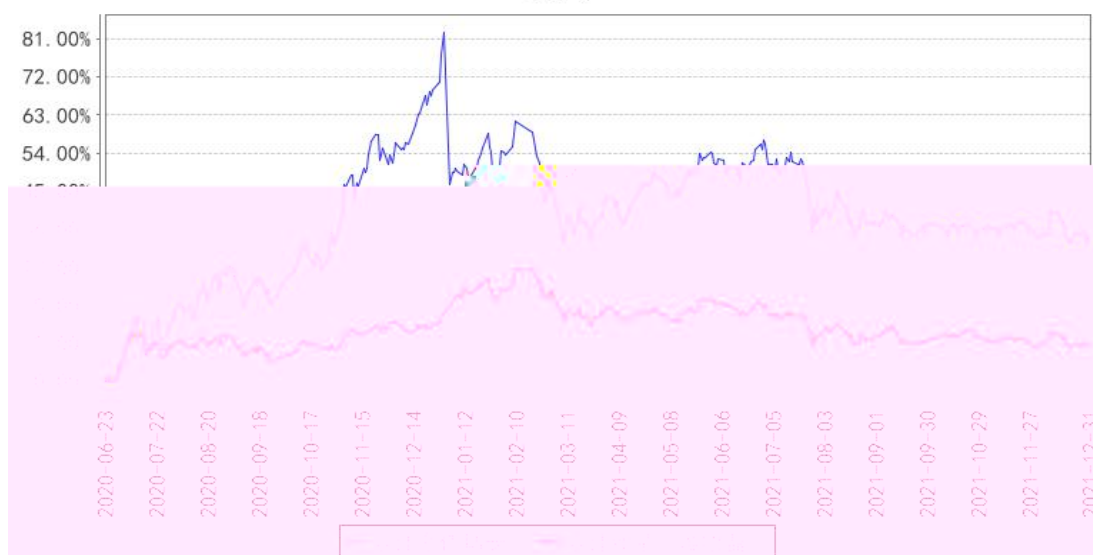
$$\text{benchmarkt} = 60\% \left[ \frac{t}{t-1} - 300 \right] + 20\% \left[ \frac{t}{t-1} - 300 \right] + 20\% \left[ \frac{t}{365} \right]$$

$$\text{benchmarkT} = \left[ (1 + \text{benchmarkT}) \right]^{-1} \quad t=1, 2, 3, \quad T \quad T$$

$$(1 + \text{benchmarkT}) \quad (1 + \text{benchmarkT})$$

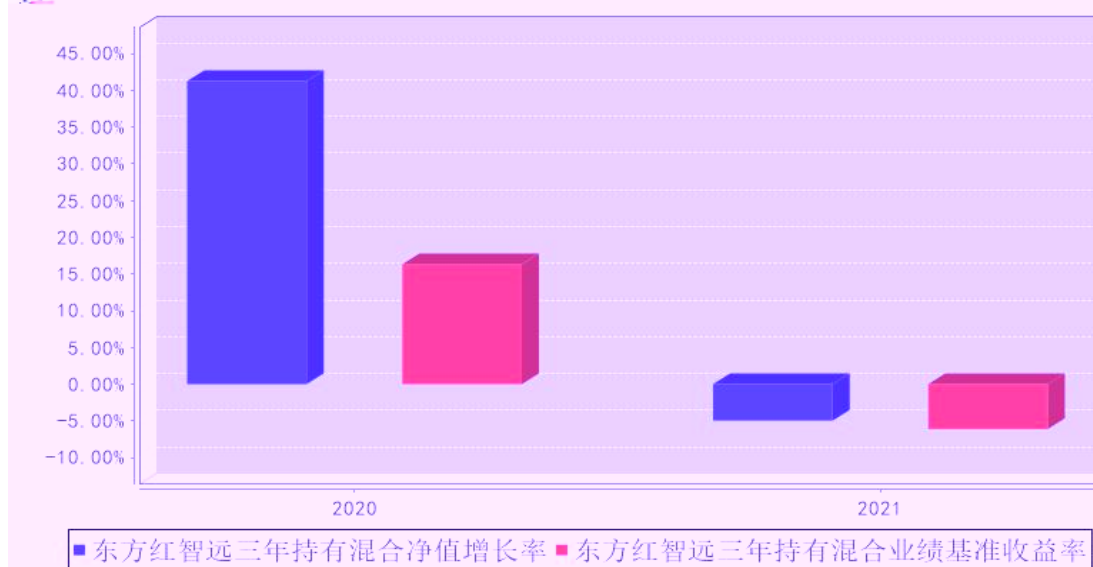
### 3.2.2

东方红智远三年持有混合累计净值增长率与同期业绩比较基准收益率的历史走势对比图



### 3.2.3

东方红智远三年持有混合基金每半年净值增长率与同期业绩比较基准收益率的对比图



2020 6 23

### 3.3



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- 6.11%

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## 6.2

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## § 7

7.1



2021 12 31

		2021 12 31	2020 12 31
	7. 4. 7. 1	503, 110, 548. 29	590, 920, 404. 52
		3, 751, 905. 79	136, 317, 168. 56
		1, 622, 821. 93	36, 841, 990. 18
	7. 4. 7. 2	7, 619, 127, 486. 20	6, 100, 561, 216. 56
		7, 619, 127, 486. 20	6, 100, 561, 216. 56
		-	-
		-	-
		-	-
		-	-
	7. 4. 7. 3	-	-
	7. 4. 7. 4	-	-
		347, 784. 18	1, 015, 776. 83
	7. 4. 7. 5	59, 340. 17	86, 173. 73
		-	432, 923. 50
		-	14, 628, 282. 94
		-	-
	7. 4. 7. 6	-	-
		8, 128, 019, 886. 56	6, 880, 803, 936. 82
		2021 12 31	2020 12 31
		-	-
		-	-
	7. 4. 7. 3	-	-
		-	-
		320. 01	161, 688, 985. 70
		-	-
		10, 457, 355. 13	6, 293, 940. 13
		1, 742, 892. 49	1, 048, 990. 03
		-	-
	7. 4. 7. 7	1, 143, 838. 35	1, 288, 437. 72
		5. 26	6. 25
		-	-
		-	-
		-	-
	7. 4. 7. 8	270, 000. 00	170, 000. 00
		13, 614, 411. 24	170, 490, 359. 83

	7. 4. 7. 9	6, 043, 873, 930. 28	4, 750, 406, 726. 72
	7. 4. 7. 10	2, 070, 531, 545. 04	1, 959, 906, 850. 27
		8, 114, 405, 475. 32	6, 710, 313, 576. 99
		8, 128, 019, 886. 56	6, 880, 803, 936. 82
2021	12	31	1. 3426
			6, 043, 873, 930. 28

## 7.2

2021 1 1 2021 12 31

		2021 1 1 2021 12 31	2020 6 23 2020 12 31
		- 338, 949, 373. 41	1, 313, 004, 085. 65
1.		2, 285, 014. 78	2, 071, 362. 51
	7. 4. 7. 11	2, 280, 101. 82	1, 149, 707. 08
		4, 912. 96	181. 87
		-	-
		-	921, 473. 56
		-	-
		-	-
2.	" - "	566, 627, 858. 99	144, 935, 979. 40
	7. 4. 7. 12	471, 034, 887. 59	133, 683, 484. 81
	-	-	-
	7. 4. 7. 13	5, 378, 946. 01	299, 208. 64
	7. 4. 7. 13. 5	-	-
	7. 4. 7. 14	-	-
	7. 4. 7. 15	-	-
	7. 4. 7. 16	90, 214, 025. 39	10, 953, 285. 95
3.	7. 4. 7. 17	- 907, 862, 247. 18	1, 165, 996, 743. 74
4.	" - "	-	-
5.	" - "	-	-
	7. 4. 7. 18	-	-

		157, 534, 078. 65	37, 327, 621. 34
1	7. 4. 10. 2. 1	123, 060, 896. 06	25, 273, 645. 33
2	7. 4. 10. 2. 2	20, 510, 149. 28	4, 212, 274. 17
3	-	-	-
4	7. 4. 7. 19	13, 693, 015. 64	7, 671, 301. 18
5		-	-
		-	-
6		17. 67	0. 66
7	7. 4. 7. 20	270, 000. 00	170, 400. 00
"_"		- 496, 483, 452. 06	1, 275, 676, 464. 31
		-	-
"_ "		- 496, 483, 452. 06	1, 275, 676, 464. 31

## 7. 3

2021 1 1 2021 12 31

	2021 1 1 2021 12 31		
	4, 750, 406, 726. 72	1, 959, 906, 850. 27	6, 710, 313, 576. 99
	-	- 496, 483, 452. 06	- 496, 483, 452. 06
"_ "	1, 293, 467, 203. 56	607, 108, 146. 83	1, 900, 575, 350. 39
1.	1, 293, 467, 203. 56	607, 108, 146. 83	1, 900, 575, 350. 39
2.	-	-	-
"_ "	-	-	-

	6, 043, 873, 930. 28	2, 070, 531, 545. 04	8, 114, 405, 475. 32
	<b>2020 6 23</b>	<b>2020 12 31</b>	
	1, 624, 020, 401. 42	-	1, 624, 020, 401. 42
	-	1, 275, 676, 464. 31	1, 275, 676, 464. 31
	3, 126, 386, 325. 30	684, 230, 385. 96	3, 810, 616, 711. 26
" - "			
1.	3, 126, 386, 325. 30	684, 230, 385. 96	3, 810, 616, 711. 26
2.	-	-	-
	-	-	-
" - "			
	4, 750, 406, 726. 72	1, 959, 906, 850. 27	6, 710, 313, 576. 99

7.1 7.4

7.4

7.4.1

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1, 623, 812, 240. 80 ( ) (2020)

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1, 624, 020, 401. 42

208, 160. 62

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7.4.4.1

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7.4.4.2

7.4.4.3

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#### 7. 4. 4. 5

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7. 4. 4. 12

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7. 4. 5. 2

7. 4. 5. 3

7. 4. 6

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[ 2008] 1

[ 2012] 85

[ 2014] 81

[ 2015] 101

[ 2016] 36

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		[ 2016] 46			
		[ 2016] 70			
[ 2016] 127					
		[ 2016] 140			
	[ 2017] 2			[ 2017] 56	
		[ 2017] 90			
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	H		20%		
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## 7.4.7

## 7.4.7.1

	2021 12 31	2020 12 31
	503, 110, 548. 29	590, 920, 404. 52
	-	-
1	-	-
1-3	-	-
3	-	-
	-	-
	503, 110, 548. 29	590, 920, 404. 52

## 7.4.7.2

		2021 12 31		
		7, 360, 992, 989. 64	7, 619, 127, 486. 20	258, 134, 496. 56
-		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		7, 360, 992, 989. 64	7, 619, 127, 486. 20	258, 134, 496. 56
		2020 12 31		
		4, 934, 564, 472. 82	6, 100, 561, 216. 56	1, 165, 996, 743. 74
-		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		-	-	-
		4, 934, 564, 472. 82	6, 100, 561, 216. 56	1, 165, 996, 743. 74

7.4.7.3 /

/

7.4.7.4

7.4.7.4.1

7.4.7.4.2

7.4.7.5

	2021 12 31	2020 12 31
	56,440.34	65,887.04
	-	-
	-	-
	2,257.57	14,601.14
	-	-
	-	-
	-	-
	7.88	405.41
	-	-
	-	-
	634.38	5,280.14
	59,340.17	86,173.73

7.4.7.6

7.4.7.7

	2021 12 31	2020 12 31
	1,143,838.35	1,288,437.72
	-	-
	1,143,838.35	1,288,437.72

7.4.7.8

	2021 12 31	2020 12 31
	-	-

	-	-
	-	-
	270,000.00	170,000.00
	270,000.00	170,000.00

## 7.4.7.9

	2021 1 1			2021 12 31		
	4,750,406,726.72			4,750,406,726.72		
	1,293,467,203.56			1,293,467,203.56		
" - "	-			-		
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/	-			-		
	-			-		
" - "	-			-		
	6,043,873,930.28			6,043,873,930.28		

## 7.4.7.10

	204,734,950.34	1,755,171,899.93	1,959,906,850.27
	411,378,795.12	-907,862,247.18	-496,483,452.06
	86,060,881.46	521,047,265.37	607,108,146.83
	86,060,881.46	521,047,265.37	607,108,146.83
	-	-	-
	-	-	-
	702,174,626.92	1,368,356,918.12	2,070,531,545.04

## 7.4.7.11

	2021 1 1			2021 12 31			2020 6 23		
				2,013,364.57			920,757.56		
				-			-		
				-			-		
				211,614.60			184,234.67		
				55,122.65			44,714.85		
				2,280,101.82			1,149,707.08		

## 7. 4. 7. 12

## 7. 4. 7. 12. 1

	2021 1 1	2021 12 31	2020 6 23 2020 12 31
---		471, 034, 887. 59	133, 683, 484. 81
---		-	-
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---		-	-
		471, 034, 887. 59	133, 683, 484. 81

## 7. 4. 7. 12. 2

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	2021 1 1	2021 12 31	2020 6 23 2020 12 31
		3, 871, 094, 769. 12	773, 059, 307. 33
		3, 400, 059, 881. 53	639, 375, 822. 52
		471, 034, 887. 59	133, 683, 484. 81

## 7. 4. 7. 12. 3

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## 7. 4. 7. 13

## 7. 4. 7. 13. 1

	2021 1 1	2021 12 31	2020 6 23 2020 12 31
---		5, 378, 946. 01	299, 208. 64

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	5, 378, 946. 01	299, 208. 64

7. 4. 7. 13. 2                    ---

	2021 1 1	2021 12 31	2020 6 23 2020 12 31
		19, 764, 306. 14	962, 396. 10
		14, 380, 300. 00	663, 000. 00
		5, 060. 13	187. 46
		5, 378, 946. 01	299, 208. 64

7. 4. 7. 13. 3                    ---

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7. 4. 7. 13. 4                    ---

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7. 4. 7. 13. 5

7. 4. 7. 14

7. 4. 7. 14. 1

7. 4. 7. 14. 2                    ---

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7. 4. 7. 14. 3

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7. 4. 7. 14. 4

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7. 4. 7. 15

7. 4. 7. 15. 1

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7. 4. 7. 15. 2

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7. 4. 7. 16

	2021 1 1	2021 12 31	2020 6 23 2020 12 31
		90, 214, 025. 39	10, 953, 285. 95
		-	-
		-	-
		90, 214, 025. 39	10, 953, 285. 95

7. 4. 7. 17

	2021 1 1 12 31	2021	2020 6 23 2020 12 31
1.		- 907, 862, 247. 18	1, 165, 996, 743. 74
		- 907, 862, 247. 18	1, 165, 996, 743. 74
		-	-
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3.	-	-
	-	-
	-907,862,247.18	1,165,996,743.74

## 7.4.7.18

## 7.4.7.19

	2021 1 1 31	2021 12	2020 6 23 2020 12 31
		13,693,015.64	7,671,301.18
		-	-
		13,693,015.64	7,671,301.18

## 7.4.7.20

	2021 1 1 31	2021 12	2020 6 23 2020 12 31
		150,000.00	100,000.00
		120,000.00	70,000.00
		-	-
		-	400.00
		270,000.00	170,400.00

## 7.4.8

## 7.4.8.1

## 7.4.8.2

## 7.4.9

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## 7. 4. 10

## 7. 4. 10. 1

## 7. 4. 10. 1. 1

	2021 1 1		2021 12 31		2020 6 23		2020 12 31	
				%				%
	3, 396, 134, 665. 78		35. 22		4, 797, 155, 693. 65		77. 79	

## 7. 4. 10. 1. 2

	2021 1 1		2021 12 31		2020 6 23		2020 12 31	
				%				%
	34, 144, 606. 14		100. 00		1, 625, 396. 10		100. 00	

## 7. 4. 10. 1. 3

	2021 1 1		2021 12 31		2020 6 23		2020 12 31	
				%				%
	-		-		6, 066, 000, 000. 00		100. 00	

## 7. 4. 10. 1. 4

## 7. 4. 10. 1. 5

	2021 1 1		2021 12 31	
		%		%
	2, 456, 644. 77	34. 72	477, 145. 68	41. 71
	2020 6 23		2020 12 31	
		%		%
	3, 539, 992. 17	77. 93	286, 022. 90	22. 20

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## 7. 4. 10. 2

## 7. 4. 10. 2 1

	2021 1 1	2021 12 31	2020 6 23	2020 12 31
		123, 060, 896. 06		25, 273, 645. 33
		51, 895, 660. 80		9, 943, 033. 22

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## 7. 4. 10. 2 2

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	2021 1 1	2021 12	2020 6 23
	31		2020 12
			31
	20, 510, 149. 28		4, 212, 274. 17

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7. 4. 10. 3 ( )

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7. 4. 10. 4

7. 4. 10. 4. 1

7. 4. 10. 4. 2

7. 4. 10. 5

7. 4. 10. 5. 1

7. 4. 10. 5. 2

7. 4. 10. 6

	2021 1 1		2021 12 31		2020 6 23		2020 12 31	
	503,110,548.29		2,013,364.57		590,920,404.52			920,757.56

7.4.10.7

7.4.10.8

7.4.11

7.4.12 (2021 12 31 )

7.4.12.1 /

7.4.12.1.1										
001234		2021 12 31	2022 1 11		16.53	16.53	333	5,504.49	5,504.49	-
300747		2021 7 2	2022 1 4		101.64	58.88	800,000	81,312,000.00	47,104,000.00	-
300747		2021 7 9	2022 1 10		100.30	58.46	700,000	70,210,000.00	40,922,000.00	-
300747		2021 8	2022 1		-	58.88	400,000	-	23,552,000.00	-

		13	4							
				-						
300747		2021 8 13	2022 1 10		-	58.46	350,000		-20,461,000.00	-
				-						
300814		2021 8 4	2022 2 14		8.40	23.88	328	2,755.20	7,832.64	-
300854		2021 9 8	2022 3 16		9.96	27.29	203	2,021.88	5,539.87	-
300994		2021 8 2	2022 2 14		11.90	45.45	420	4,998.00	19,089.00	-
301017		2021 6 23	2022 1 5		8.86	23.80	555	4,917.30	13,209.00	-
301020		2021 6 28	2022 1 6		10.64	30.44	421	4,479.44	12,815.24	-
301021		2021 6 28	2022 1 6		9.46	41.43	290	2,743.40	12,014.70	-
301025		2021 7 6	2022 1 24		1.55	20.88	403	624.65	8,414.64	-
301026		2021 7 8	2022 1 17		18.03	62.73	203	3,660.09	12,734.19	-
301027		2021 7 8	2022 1 19		11.45	16.65	286	3,274.70	4,761.90	-
301028		2021 7 12	2022 1 20		5.31	13.50	733	3,892.23	9,895.50	-
301029		2021 7	2022 1		14.14	89.87	462	6,532.68	41,519.94	-

		14	24							
301032		2021 7 15	2022 1 24		4.97	12.51	513	2,549.61	6,417.63	-
301033		2021 7 15	2022 1 26		15.14	59.97	108	1,635.12	6,476.76	-
301035		2021 7 21	2022 1 28		22.04	56.28	644	14,193.76	36,244.32	-
301036		2021 7 21	2022 2 16		23.38	29.93	205	4,792.90	6,135.65	-
301037		2021 7 21	2022 2 7		14.82	24.26	193	2,860.26	4,682.18	-
301038		2021 7 22	2022 2 7		6.68	20.23	266	1,776.88	5,381.18	-
301039		2021 7 1	2022 1 10		6.96	12.51	2,064	14,365.44	25,820.64	-
301040		2021 7 26	2022 2 7		13.57	38.51	241	3,270.37	9,280.91	-
301046		2021 8 10	2022 2 17		8.34	48.99	290	2,418.60	14,207.10	-
301048		2021 8 11	2022 2 28		4.13	12.96	843	3,481.59	10,925.28	-
301049		2021 8 17	2022 2 24		19.34	32.92	196	3,790.64	6,452.32	-
301050		2021 8 17	2022 2 24		60.64	234.74	233	14,129.12	54,694.42	-
301053		2021 8 25	2022 3 1		11.87	28.78	155	1,839.85	4,460.90	-
301055		2021 8 26	2022 3 7		6.90	21.78	355	2,449.50	7,731.90	-
301056		2021	2022		3.93	11.09	572	2,247.96	6,343.48	-



		8 27	3 7							
301057		2021 8 31	2022 3 9		8.03	19.02	218	1,750.54	4,146.36	-
301063		2021 9 9	2022 3 24		17.40	36.11	153	2,662.20	5,524.83	-
301066		2021 9 13	2022 3 22		5.24	22.84	344	1,802.56	7,856.96	-
301072		2021 9 22	2022 3 29		7.46	33.41	181	1,350.26	6,047.21	-
301073		2021 9 22	2022 3 30		12.24	32.00	144	1,762.56	4,608.00	-
301078		2021 9 30	2022 4 14		5.77	12.14	756	4,362.12	9,177.84	-
301079		2021 10 11	2022 4 19		11.92	24.82	140	1,668.80	3,474.80	-
301082		2021 10 14	2022 4 27		15.48	21.53	915	14,164.20	19,699.95	-
301096		2021 12 13	2022 6 20		79.60	78.53	272	21,651.20	21,360.16	-
301100		2021 12 10	2022 6 17		27.81	31.14	599	16,658.19	18,652.86	-
301108		2021 11 25	2022 6 6		57.27	53.71	280	16,035.60	15,038.80	-
301111		2021 11 30	2022 6 7		10.48	36.68	379	3,971.92	13,901.72	-

301126		2021 11 30	2022 6 7		12.37	19.23	662	8,188.94	12,730.26	-
301133		2021 11 19	2022 5 26		14.33	26.95	286	4,098.38	7,707.70	-
301136		2021 12 31	2022 1 11		10.52	10.52	7,172	75,449.44	75,449.44	-
301138		2021 12 8	2022 6 15		26.17	44.86	285	7,458.45	12,785.10	-
301166		2021 12 21	2022 6 28		86.06	94.50	253	21,773.18	23,908.50	-
301168		2021 12 2	2022 6 10		39.08	52.13	329	12,857.32	17,150.77	-
301177		2021 12 8	2022 6 15		116.88	116.08	792	92,568.96	91,935.36	-
301179		2021 12 1	2022 6 8		43.99	50.77	295	12,977.05	14,977.15	-
301182		2021 12 16	2022 6 23		27.12	30.58	294	7,973.28	8,990.52	-
301189		2021 12 21	2022 6 28		66.18	56.62	435	28,788.30	24,629.70	-
301190		2021 12 17	2022 6 24		27.85	27.46	575	16,013.75	15,789.50	-
301193		2021 12 2	2022 6 9		30.73	29.14	297	9,126.81	8,654.58	-
301198		2021	2022		21.76	29.20	262	5,701.12	7,650.40	-

		11 25	6 2							
688176		2021 12 29	2022 1 7		22.98	22.98	37,990	873,010.20	873,010.20	-
688187		2021 8 30	2022 3 7		31.38	76.65	33,865	1,062,683.70	2,595,752.25	-
688226		2021 6 28	2022 1 7		6.42	15.76	2,693	17,289.06	42,441.68	-
688262		2021 12 28	2022 1 6		41.98	41.98	7,129	299,275.42	299,275.42	-
688670		2021 7 23	2022 2 7		55.18	74.11	2,310	127,465.80	171,194.10	-
688701		2021 9 8	2022 3 16		7.48	13.50	2,340	17,503.20	31,590.00	-

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2021 12 31	1	1-3	3 -1	1-5	5		
	503, 110, 548 . 29	-	-	-	-	-	503, 110, 548 8. 29
	3, 751, 905. 7 9	-	-	-	-	-	3, 751, 905. 79
	1, 622, 821. 9 3	-	-	-	-	-	1, 622, 821. 93
	-	-	-	-	-	7, 619, 127 , 486. 20	7, 619, 127, 486. 20
	-	-	-	-	-	59, 340. 17	59, 340. 17
	-	-	-	-	-	347, 784. 1 8	347, 784. 18
	508, 485, 276 . 01	-	-	-	-	7, 619, 534 , 610. 55	8, 128, 019, 886. 56
	-	-	-	-	-	10, 457, 35 5. 13	10, 457, 355 . 13
	-	-	-	-	-	1, 742, 892 . 49	1, 742, 892. 49
	-	-	-	-	-	320. 01	320. 01
	-	-	-	-	-	1, 143, 838 . 35	1, 143, 838. 35
	-	-	-	-	-	5. 26	5. 26
	-	-	-	-	-	270, 000. 0 0	270, 000. 00
	-	-	-	-	-	13, 614, 41 1. 24	13, 614, 411 . 24
	508, 485, 276 . 01	-	-	-	-	7, 605, 920 , 199. 31	8, 114, 405, 475. 32
2020 12 31	1	1-3	3 -1	1-5	5		



	590,920,404 .52	-	-	-	-	-	590,920,404 4.52
	136,317,168 .56	-	-	-	-	-	136,317,168 8.56
	36,841,990. 18	-	-	-	-	-	36,841,990 .18
	-	-	-	-	-	6,100,561, ,216.56	6,100,561, 216.56
	-	-	-	-	-	86,173.73	86,173.73
	-	-	-	-	-	432,923.5 0	432,923.50
	1,113,054.1 4	-	-	-	-	13,515,228.80	14,628,282 .94
	-	-	-	-	-	1,015,776. .83	1,015,776. 83
	765,192,617 .40	-	-	-	-	6,115,611, ,319.42	6,880,803, 936.82
	-	-	-	-	-	6,293,940 .13	6,293,940. 13
	-	-	-	-	-	1,048,990 .03	1,048,990. 03
	-	-	-	-	-	161,688,985.70	161,688,985.70
	-	-	-	-	-	1,288,437. .72	1,288,437. 72
	-	-	-	-	-	6.25	6.25
	-	-	-	-	-	170,000.0 0	170,000.00
	-	-	-	-	-	170,490,359.83	170,490,359.83
	765,192,617 .40	-	-	-	-	5,945,120, ,959.59	6,710,313, 576.99

## 7.4.13.4.1.2

2021 12 31

(2020 12 31 )

## 7. 4. 13. 4. 2

## 7. 4. 13. 4. 2 1

	2021 12 31			
	-	1, 509, 565, 248. 92	-	1, 509, 565, 248. 92
	-	1, 509, 565, 248. 92	-	1, 509, 565, 248. 92
	-	-	-	-
	-	1, 509, 565, 248. 92	-	1, 509, 565, 248. 92
	2020 12 31			
	-	1, 907, 754, 550. 10	-	1, 907, 754, 550. 10
	-	1, 907, 754, 550. 10	-	1, 907, 754, 550. 10
	-	-	-	-
	-	1, 907, 754, 550.	-	1, 907, 754, 550. 10

		10	
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## 7. 4. 13. 4. 2. 2

		2021 12 31		2020 12 31	
	1	75, 478, 262. 45		95, 387, 727. 51	
	5%				
	2	- 75, 478, 262. 45		- 95, 387, 727. 51	
	5%				

## 7. 4. 13. 4. 3

## 7. 4. 13. 4. 3. 1

	2021 12 31		2020 12 31	
		%		%
	7, 619, 127, 486. 20	93. 90	6, 100, 561, 216. 56	90. 91
	-	-	-	-
	-	-	-	-
	-	-	-	-
	-	-	-	-
	7, 619, 127, 486. 20	93. 90	6, 100, 561, 216. 56	90. 91

## 7. 4. 13. 4. 3. 2

		300	
		2021 12 31	2020 12 31
1.	300 5%	424, 483, 880. 00	295, 671, 332. 38
2.	300 5%	- 424, 483, 880. 00	- 295, 671, 332. 38

- (CAPM)

( 300)

## 7. 4. 14

(1)

(a)

(b)

(i)

2021 12 31

7, 482, 264, 788. 30

1, 253, 239. 55

135, 609, 458. 35 (2020 12 31

5, 802, 539, 622. 80

298, 021, 593. 76 )

(ii)

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135,609,458.35 (2020 12 31 )  
 153,178,008.62 (2020 6 23 )  
 2020 12 31 )  
 -17,568,550.27 (2020 6 23 )  
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 135,609,458.35

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 23 24 37 -  
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## § 8

## 8.1

			%
1		7, 619, 127, 486. 20	93. 74
		7, 619, 127, 486. 20	93. 74
2		-	-
3		-	-
		-	-
		-	-
4		-	-
5		-	-
6		-	-
		-	-
7		506, 862, 454. 08	6. 24
8		2, 029, 946. 28	0. 02
9		8, 128, 019, 886. 56	100. 00

1 1, 509, 565, 248. 92

18. 60%

2

## 8.2

## 8.2.1

			%
A		-	-
B		-	-
C		5, 224, 054, 117. 16	64. 38
D		4, 597, 344. 00	0. 06
E		54, 952, 441. 80	0. 68
F		48, 446, 848. 76	0. 60
G		-	-
H		4, 608. 00	0. 00
I		72, 423, 917. 72	0. 89
J		75, 612, 126. 63	0. 93

K		269, 659, 458. 18	3. 32
L		193, 767, 955. 91	2. 39
M		18, 524, 359. 78	0. 23
N		43, 582. 19	0. 00
O		-	-
P		-	-
Q		147, 405, 696. 27	1. 82
R		69, 780. 88	0. 00
S		-	-
		6, 109, 562, 237. 28	75. 29

### 8.2.2

			%
10		14, 945, 728. 00	0. 18
15		84, 793, 578. 07	1. 04
20		-	-
25		949, 106, 486. 90	11. 70
30		390, 880, 685. 33	4. 82
35		3, 560, 648. 00	0. 04
40		-	-
45		-	-
50		44, 556, 125. 82	0. 55
55		-	-
60		21, 721, 996. 80	0. 27
		1, 509, 565, 248. 92	18. 60

### 8.3

					%
1	002568		10, 437, 333	624, 465, 633. 39	7. 70
2	300750		739, 282	434, 697, 816. 00	5. 36
3	600519		179, 926	368, 848, 300. 00	4. 55
4	002475		6, 754, 935	332, 342, 802. 00	4. 10
5	000799		1, 562, 848	332, 105, 200. 00	4. 09
6	002415		5, 962, 627	311, 964, 644. 64	3. 84
7	002304		1, 670, 000	275, 099, 100. 00	3. 39
8	300747		4, 514, 618	265, 900, 569. 98	3. 28
9	600048		16, 679, 304	260, 697, 521. 52	3. 21
10	09922		23, 191, 000	259, 765, 173. 92	3. 20
11	09992		5, 971, 200	218, 227, 774. 46	2. 69
12	02020		2, 111, 800	201, 840, 437. 79	2. 49

13	002241		3, 446, 000	186, 428, 600. 00	2. 30
14	002027		19, 892, 963	162, 923, 366. 97	2. 01
15	02319		4, 500, 000	162, 620, 640. 00	2. 00
16	600887		3, 814, 699	158, 157, 420. 54	1. 95
17	600809		480, 000	151, 574, 400. 00	1. 87
18	600779		1, 236, 200	148, 331, 638. 00	1. 83
19	02331		2, 121, 500	148, 042, 852. 44	1. 82
20	002460		1, 028, 012	146, 851, 514. 20	1. 81
21	000568		500, 000	126, 935, 000. 00	1. 56
22	00168		2, 000, 000	119, 369, 600. 00	1. 47
23	601012		1, 268, 391	109, 335, 304. 20	1. 35
24	603816		1, 399, 827	108, 010, 651. 32	1. 33
25	605369		699, 720	100, 262, 878. 80	1. 24
26	00291		1, 900, 000	99, 187, 144. 00	1. 22
27	000516		8, 183, 345	90, 262, 295. 35	1. 11
28	002925		2, 532, 853	86, 192, 987. 59	1. 06
29	01378		12, 601, 500	84, 793, 578. 07	1. 04
30	000988		3, 023, 532	84, 235, 601. 52	1. 04
31	600893		1, 277, 200	81, 051, 112. 00	1. 00
32	601318		1, 499, 943	75, 612, 126. 63	0. 93
33	300122		600, 000	74, 760, 000. 00	0. 92
34	600346		3, 000, 000	68, 910, 000. 00	0. 85
35	01999		6, 676, 800	65, 944, 136. 29	0. 81
36	688122		630, 193	61, 090, 909. 42	0. 75
37	000858		269, 987	60, 115, 305. 42	0. 74
38	600019		8, 065, 600	57, 749, 696. 00	0. 71
39	03690	- W	300, 000	55, 286, 112. 00	0. 68
40	600196		1, 000, 000	48, 940, 000. 00	0. 60
41	300014		400, 000	47, 272, 000. 00	0. 58
42	00700		119, 300	44, 556, 125. 82	0. 55
43	603501		130, 500	40, 555, 485. 00	0. 50
44	002558		3, 351, 440	40, 116, 736. 80	0. 49
45	601117		3, 082, 200	36, 986, 400. 00	0. 46
46	600765		716, 700	36, 186, 183. 00	0. 45
47	603181		1, 739, 678	33, 367, 024. 04	0. 41
48	002179		328, 100	32, 993, 736. 00	0. 41
49	300015		743, 439	31, 432, 600. 92	0. 39
50	300662		495, 814	30, 844, 588. 94	0. 38
51	000422		1, 399, 930	29, 832, 508. 30	0. 37
52	300783		685, 900	26, 729, 523. 00	0. 33
53	688368		82, 463	26, 396, 406. 30	0. 33
54	600763		129, 200	25, 710, 800. 00	0. 32



55	002493		1, 364, 955	24, 787, 582. 80	0. 31
56	002223		654, 100	24, 724, 980. 00	0. 30
57	000333		334, 900	24, 718, 969. 00	0. 30
58	600456		319, 498	22, 933, 566. 44	0. 28
59	600031		1, 000, 000	22, 800, 000. 00	0. 28
60	02869		3, 690, 000	21, 721, 996. 80	0. 27
61	300892		532, 240	21, 566, 364. 80	0. 27
62	300347		144, 000	18, 403, 200. 00	0. 23
63	603098		382, 400	17, 961, 328. 00	0. 22
64	002078		1, 440, 000	16, 545, 600. 00	0. 20
65	002531		841, 000	16, 306, 990. 00	0. 20
66	01088		1, 000, 000	14, 945, 728. 00	0. 18
67	002311		199, 920	14, 654, 136. 00	0. 18
68	300616		330, 900	14, 192, 301. 00	0. 17
69	00288		2, 427, 000	9, 703, 301. 33	0. 12
70	000961		2, 164, 719	8, 961, 936. 66	0. 11
71	600399		330, 200	8, 179, 054. 00	0. 10
72	002624		275, 555	5, 596, 522. 05	0. 07
73	002991		71, 400	5, 447, 820. 00	0. 07
74	600803		250, 400	4, 597, 344. 00	0. 06
75	01951		500, 000	3, 560, 648. 00	0. 04
76	688187		33, 865	2, 595, 752. 25	0. 03
77	688176		37, 990	873, 010. 20	0. 01
78	688262		7, 129	299, 275. 42	0. 00
79	688670		2, 310	171, 194. 10	0. 00
80	301177		792	91, 935. 36	0. 00
81	301136		7, 172	75, 449. 44	0. 00
82	300860		724	61, 366. 24	0. 00
83	301050		233	54, 694. 42	0. 00
84	688226		2, 693	42, 441. 68	0. 00
85	301029		462	41, 519. 94	0. 00
86	301035		644	36, 244. 32	0. 00
87	688701		2, 340	31, 590. 00	0. 00
88	301039		2, 064	25, 820. 64	0. 00
89	301189		435	24, 629. 70	0. 00
90	301166		253	23, 908. 50	0. 00
91	301096		272	21, 360. 16	0. 00
92	301082		915	19, 699. 95	0. 00
93	300994		420	19, 089. 00	0. 00
94	301100		599	18, 652. 86	0. 00
95	001296		310	18, 376. 80	0. 00
96	301168		329	17, 150. 77	0. 00

97	301190		575	15,789.50	0.00
98	301108		280	15,038.80	0.00
99	301179		295	14,977.15	0.00
100	301046		290	14,207.10	0.00
101	301111		379	13,901.72	0.00
102	301017		555	13,209.00	0.00
103	301020		421	12,815.24	0.00
104	301138		285	12,785.10	0.00
105	301026		203	12,734.19	0.00
106	301126		662	12,730.26	0.00
107	301021		290	12,014.70	0.00
108	301048		843	10,925.28	0.00
109	301028		733	9,895.50	0.00
110	301040		241	9,280.91	0.00
111	301078		756	9,177.84	0.00
112	301182		294	8,990.52	0.00
113	301193		297	8,654.58	0.00
114	301025		403	8,414.64	0.00
115	301066		344	7,856.96	0.00
116	300814		328	7,832.64	0.00
117	301055		355	7,731.90	0.00
118	301133		286	7,707.70	0.00
119	301198		262	7,650.40	0.00
120	301033		108	6,476.76	0.00
121	301049		196	6,452.32	0.00
122	301032		513	6,417.63	0.00
123	301056		572	6,343.48	0.00
124	301036		205	6,135.65	0.00
125	301072		181	6,047.21	0.00
126	300854		203	5,539.87	0.00
127	301063		153	5,524.83	0.00
128	001234		333	5,504.49	0.00
129	301038		266	5,381.18	0.00
130	301027		286	4,761.90	0.00
131	603176		1,924	4,713.80	0.00
132	301037		193	4,682.18	0.00
133	301073		144	4,608.00	0.00
134	301053		155	4,460.90	0.00
135	301057		218	4,146.36	0.00
136	301079		140	3,474.80	0.00

A+H

## 8.4

## 8.4.1

2% 20

				(%)
1	002568		538, 595, 879. 06	8. 03
2	002475		305, 763, 292. 68	4. 56
3	09992		290, 588, 941. 90	4. 33
4	09922		278, 000, 579. 74	4. 14
5	300747		271, 708, 217. 45	4. 05
6	002304		227, 200, 705. 77	3. 39
7	002460		216, 910, 687. 37	3. 23
8	300750		200, 036, 523. 52	2. 98
9	02319		183, 314, 374. 63	2. 73
10	002241		181, 726, 108. 81	2. 71
11	002027		149, 874, 011. 64	2. 23
12	601615		146, 217, 590. 77	2. 18
13	600779		139, 049, 778. 31	2. 07
14	601012		138, 262, 464. 05	2. 06
15	00168		130, 151, 887. 35	1. 94
16	600809		125, 318, 277. 25	1. 87
17	02331		121, 562, 091. 62	1. 81
18	00700		115, 805, 934. 74	1. 73
19	000516		114, 107, 232. 89	1. 70
20	300122		109, 417, 316. 71	1. 63

"

"

## 8.4.2

2% 20

				(%)
1	300750		514, 335, 522. 11	7. 66
2	00700		398, 514, 557. 41	5. 94
3	600887		209, 375, 381. 69	3. 12
4	06969		183, 845, 300. 60	2. 74
5	601615		182, 181, 667. 15	2. 71
6	000002	A	147, 024, 522. 95	2. 19
7	00175		136, 381, 340. 62	2. 03
8	300747		135, 049, 417. 32	2. 01
9	688063		118, 588, 456. 51	1. 77
10	000933		111, 150, 483. 12	1. 66
11	601012		100, 996, 793. 83	1. 51

12	688005		90,614,297.98	1.35
13	600048		89,308,537.39	1.33
14	00027		88,183,051.89	1.31
15	600549		77,168,077.39	1.15
16	601919		63,631,097.25	0.95
17	000799		63,541,275.86	0.95
18	002460		63,437,021.29	0.95
19	601888		61,287,791.00	0.91
20	002245		61,185,294.57	0.91

"

"

## 8.4.3

:

	5,826,488,398.35
	3,871,094,769.12

"

" "

"

## 8.5

## 8.6

## 8.7

## 8.8

## 8.9

## 8.10

## 8.10.1

## 8.10.2

8.11

8.11.1

8.11.2

8.11.3

8.12

8.12.1

8.12.2

8.12.3

1		1,622,821.93
2		347,784.18
3		-
4		59,340.17
5		-
6		-
7		-
8		-
9		2,029,946.28

8.12.4

8.12.5

					%	
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1	300747		132,039,000.00	1.63	
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8.12.6

## § 9

9.1

			%		%
100,872	59,916.27	26,828,372.72	0.44	6,017,045,557.56	99.56

9.2

		%
	2,993,390.28	0.05

9.3

	0
	>100

9.4

## § 10

2020 6 23	1,624,020,401.42
	4,750,406,726.72
	1,293,467,203.56
	-
" - "	-
	6,043,873,930.28

## § 11

## 11.1

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## 11.2

	2021	3	12	2021
8	20			
	2021	9	24	

## 11.3

--

## 11.4

--

## 11.5

	15
--	----

## 11.6

--

## 11.7

## 11.7.1

	1	3, 480, 615, 90 1. 39	36. 09%	2, 622, 639. 13	37. 07%	-
	3	3, 396, 134, 66 5. 78	35. 22%	2, 456, 644. 77	34. 72%	-
	2	1, 535, 156, 50 5. 71	15. 92%	1, 119, 903. 77	15. 83%	-
	2	1, 232, 029, 02 1. 59	12. 78%	876, 344. 01	12. 39%	-

1

2

1

2

3

1

## 11. 7. 2

	-	-	-	-	-	-
	34, 144, 606. 14	100. 00%	-	-	-	-
	-	-	-	-	-	-
	-	-	-	-	-	-

## 11. 8

1			2021 1 8
2			2021 1 11
3	2021		2021 1 15
4	2020 4 /		2021 1 22
5			2021 1 29



6			2021 2 22
7			2021 3 1
8			2021 3 2
9			2021 3 3
10			2021 3 10
11			2021 3 13
12			2021 3 15
13			2021 3 29
14	2020 /		2021 3 31
15			2021 4 22
16	2021 1 /		2021 4 22
17	1 2021		2021 5 18

18			2021 5 18
19			2021 5 31
20			2021 6 1
21			2021 6 2
22			2021 6 7
23			2021 6 21
24			2021 6 22
25			2021 7 13
26			2021 7 16
27	2021 2 /		2021 7 21
28			2021 8 21
29			2021 8 23
30	. pdf		2021 8 31

	2021 /		
31			2021 9 25
32			2021 10 13
33			2021 10 14
34			2021 10 15
35	2021 3 /		2021 10 27
36			2021 10 29
37			2021 11 3
38			2021 11 17
39			2021 11 19
40			2021 11 29
41			2021 12 2
42			2021 12 10

43			2021 12 22
44			2021 12 24
45	2021	2022	2021 12 28

§ 12

12.1

20%

20%

12.2

§ 13

13.1

- 1
- 2
- 3
- 4
- 5
- 6
- 7

13.2

108 7

13.3

2022 3 31